



Attorney Docket No. 2000P13026WOUS

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

Serial No.: 10/603,531  
Filing Date: 06/25/2003  
Applicant: Helmut Jerg  
Title: FILTER  
Date of Deposit: November 16, 2007  
Type of Document(s): Certificate of Mailing (1 page);  
Fee Transmittal Form, Original and Copy (2 pages);  
Appeal Brief (14 pages);  
Return postcard.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. Section 1.8**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Appeal Brief - Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

November 16, 2007

Date of Deposit

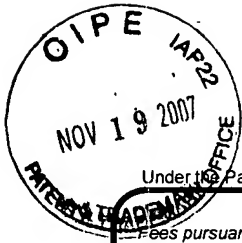
Russell W. Warnock

Name of Person Signing

Signature

Russell W. Warnock  
Registration No. 32,860  
Printed Name

BSH Home Appliances Corp  
100 Bosch Boulevard  
New Bern, NC 28562  
Phone: 252-672-7927  
Fax: 714-845-2807  
russ.warnock@bshg.com



PTO/SB/17 (10-07)

Approved for use through 06/30/2010. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2008

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

510.00

**Complete if Known**

Application Number	10/603,531
Filing Date	06/25/2003
First Named Inventor	Helmut Jerg
Examiner Name	Joseph W. Drodge
Art Unit	1723
Attorney Docket No.	2000P13026WOUS

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 502786 Deposit Account Name: BSH Home Appliances Corp.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
50	25

Each independent claim over 3 (including Reissues)

210	105
-----	-----

Multiple dependent claims

370	185
-----	-----

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	x	50.00	=

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	x	210.00	=

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge): Appeal Brief Fee

510.00

**SUBMITTED BY**

Signature	<i>Russell W. Warnock</i>	Registration No. (Attorney/Agent)	32,860	Telephone	252-672-7927
Name (Print/Type)	Russell W. Warnock	Date	November 16, 2007		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Attorney Docket No. 2000P13026WOUS

**UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Helmut Jerg  
Application Number: 10/603,531  
Filing Date: 06/25/2003  
Group Art Unit: 1723  
Examiner: Joseph W. Drodge  
Title: FILTER

Mail Stop Appeal Brief - Patents  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**APPEAL BRIEF**

Pursuant to 37 CFR 1.192, Appellant hereby files an appeal brief in the above-identified application. This Appeal Brief is accompanied by the requisite fee set forth in 37 CFR 1.17(f).

11/20/2007 HVUONG1 00000018 502786 10603531  
01 FC:1402 510.00 DA

Table of Contents

(1) REAL PARTY IN INTEREST ..... 3

(2) RELATED APPEALS AND INTERFERENCES ..... 3

(3) STATUS OF CLAIMS ..... 3

(4) STATUS OF AMENDMENTS ..... 3

(5) SUMMARY OF CLAIMED SUBJECT MATTER ..... 3

(6) GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL ..... 4

(7) ARGUMENT ..... 4

(8) CONCLUSION..... 9

CLAIMS APPENDIX..... 10

EVIDENCE APPENDIX..... 13

RELATED PROCEEDINGS APPENDIX ..... 14

(1) REAL PARTY IN INTEREST

The real party in interest is BSH Bosch und Siemens Hausgeraete GmbH.

(2) RELATED APPEALS AND INTERFERENCES

There are no appeals or interferences that will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal.

(3) STATUS OF CLAIMS

Claims 8, 10-12, 14, and 16-20 are pending in the application and have been finally rejected. The final rejection of claims 8, 14, and 19 is being appealed. Claims 10-12, 16-18, and 20 would be allowable if rewritten into independent form.

(4) STATUS OF AMENDMENTS

No Amendment has been filed subsequent to the May 10, 2007, Final Rejection.

(5) SUMMARY OF CLAIMED SUBJECT MATTER

**CLAIM 8**

Independent claim 8 of the present application recites a filter 1 that includes a filter body 2 having a plurality of filter openings 5 for filtering a medium flowing through the openings 5 (Figures 2-3, [0016]). Each of the openings 5 being screened or covered by elements 4 whose state relative to the filter openings vary under the influence of the heat of the medium flowing through said openings 5 (see, for example, Figures 1-3, and [0015]-[0016]).

**CLAIM 14**

Independent claim 14 of the present application recites a dishwashing machine ([0002]). The machine including a water medium utilized in the machine. The machine including a filter 1 for filtering the water medium in the machine that includes a filter body 2 having a plurality of filter openings 5 for filtering the medium flowing through the openings 5 (Figures 2-3, [0016]). Each of the openings 5 having a passage cross-section which varies automatically in response to a characteristic inherent to the medium flowing through the openings 5 (see, for example, [0018]), including the filter openings 5 being screened or covered by elements 4 whose state relative to the filter openings 5 vary under the influence of the heat of the medium flowing through the openings 5 (see, for example, Figures 1-3, and [0015]-[0016]).

**(6) GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL**

Whether claims 8, 14, and 19 are unpatentable under 35 U.S.C. § 103(a) as being obvious in view of the Alabaster reference?

**(7) ARGUMENT**

The Office Action alleges that claims 8, 14, and 19 are unpatentable under 35 U.S.C. § 103(a) as being obvious in view of the Alabaster reference. Appellant respectfully traverses this rejection.

The Alabaster reference does not disclose a plurality of filter openings for filtering a medium flowing through the openings that are screened or covered by elements whose state relative to the filter openings vary under the influence of the

heat of the medium flowing through the openings. This feature is important for providing a filter that is self cleaning by varying the heat of the medium.

In stark contrast, the Alabaster reference discloses a mesh material 9 that is supported by a wire frame 3, 4, and 5 that has a throat area 10 that is varied by a baffle 24 that flexes when heated. This solves a problem of foam overflowing the throat area 10 and carrying soil out of the filter rather than forcing the foam B to flow through the mesh material 9. When the washing cycle is initiated, the medium is relatively cold and does not have a high amount (if any of foam). As the cycle continues, the amount of foam increases and the heat of the medium increases. In response to the increased heat, the baffle 24 further restricts the throat area 10 so as to force the foam through the mesh material 9 and prevent overflow of the foam. In other words, the Alabaster reference merely discloses a baffle 24 which varies the area of the throat area through which the medium flows before the medium passes through the filter openings in the mesh material.

The throat area 10 of the Alabaster reference is very clearly not a filter opening for filtering the medium as recited by the claims. Indeed, the throat area 10 does not filter the medium at all.

The Allegation that the throat area 10 corresponds with the claimed filter openings for filtering the medium stretches the interpretation of the claim language well beyond its broadest reasonable interpretation.

“The broadest reasonable interpretation of the claims must also be consistent with the interpretation that those skilled in the art would reach.” *In re Cortright*, 165 F.3d 1353, 1359, 49 USPQ2d 1464, 1468 (Fed. Cir. 1999).

Appellant respectfully submits that those skilled in the art would have recognized that the throat area 10 does not correspond with the filter openings for filtering the medium as recited by the claims. Rather, those skilled in the art would have recognized the openings in the mesh material 9 which filter the medium correspond to the claimed filter openings.

“[T]he words of a claim must be given their plain meaning unless the applicant has provided a clear definition in the specification.” *In re Zletz*, 893 F.2d 319, 321, 13 USPQ2d 1320, 1322 (Fed. Cir. 1989). “Ordinary simple English words whose meaning is clear and unquestionable, absent any indication that their use in a particular context changes their meaning, are construed to mean exactly what they say.” *Liebel-Flarsheim Co. v. Medrad Inc.*, 358 F.3d 898, 906, 69 USPQ2d 1801, 1807 (Fed. Circ, 2004).

The allegation by the Office Action that the throat area 10 in the Alabaster reference corresponds to “filter openings for filtering a medium flowing through the openings” stretches the meaning of the claim term well beyond the plain meaning of the term and certainly beyond any broadest reasonable interpretation.

Indeed, the Alabaster reference itself confirms that the throat area 10, in which the baffle 24 acts, does not filter the medium at all. The Alabaster reference explains that despite the fact that the medium flows through the throat area 10, that foam in the medium may flow back out through the throat area 10 and thereby not be filtered. (see for example, col. 3, lines 54-73). The Alabaster reference is directed to solving this problem by narrowing the throat area 10 such that the foam does not escape filtering by forcing it through the openings in the mesh material 9.



The Office Action also attempts to allege that "Figure 5 illustrates the filter openings 9 to be proximate the flexing baffle elements 12 so as to together form openings that have a varying cross-sectional area depending upon the state or positions of elements 12 that vary according to degree of heat." (page 3).

Firstly, contrary to the above allegation, the Alabaster reference does not disclose "flexing" baffle elements 12. The Alabaster reference merely discloses baffles that restrict the horizontal cross section of the bag below its top. (col. 4, lines 4-9). The Alabaster reference does not teach or suggest that these baffle elements flex at all.

Secondly, the Alabaster reference does not teach or suggest that these baffle elements 12 and the mesh material 9 "together form" filter openings between them as alleged by the Office Action. Indeed, the openings between the baffle elements 12 and the mesh material do not filter any medium at all. As explained above, the claims require filter openings for filtering a medium. The openings defined between the baffle elements 12 and the mesh material 9 do not filter the medium at all. Rather, the gaps between the baffle elements 12 and the mesh material 9 restrict the movement of foam out of the throat area 10.

Further, the Alabaster reference does not disclose the features of claim 19. Claim 19 recites flap-like elements having a first substantially covering position by a force effect of said medium flowing through said openings and can be adjusted to a second increased passage opening by an increased flow rate of said medium flowing through said openings. This feature is important because cleaning of the claimed filter requires only a change in the flow rate which is easy to do.

The Alabaster reference does not disclose anything at all about varying anything based upon a flow rate. Indeed, the Alabaster reference does not disclose anything at all that is even remotely related to a flow rate as claimed.

Rather, and in stark contrast, the Alabaster reference merely discloses varying a throat area 10 based upon a heat of a medium varying the shape of a baffle 24. The Alabaster reference does not teach or suggest varying the baffle 24 in response to a flow rate at all, let alone flap-like elements having a first substantially covering position by a force effect of said medium flowing through said openings and can be adjusted to a second increased passage opening by an increased flow rate of said medium flowing through said openings.

Indeed, the Office Action does not allege that the baffle 24 varies any state at all in response to flow rate.

Clearly, the Alabaster reference fails to disclose the features of the reference and one of ordinary skill in the art would not have been motivated to modify the teachings of the Alabaster reference to arrive at the claimed features.

Appellants respectfully submit that the rejection of claims 8, 14, and 19 under 35 U.S.C. § 103(a) is in error and should be reversed.

(8) CONCLUSION

In view of the foregoing discussion, it is respectfully requested that the Honorable Board of Patent Appeals and Interferences overrule the final rejection of Claims 8, 14, and 19 over the cited art, and hold that the Appellant's claim be allowable over such art.

Respectfully submitted,



Russell W. Warnock

Registration No. 32,860

November 16, 2007

BSH Home Appliances Corporation  
100 Bosch Blvd  
New Bern, NC 28562  
Phone: 252-672-7927  
Fax: 714-845-2807  
russ.warnock@bshg.com

CLAIMS APPENDIX

1 – 7 (Canceled).

8. A filter, comprising:  
a filter body having a plurality of filter openings for filtering a medium flowing through said openings; and  
each of said openings being screened or covered by elements whose state relative to said filter openings vary under the influence of the heat of said medium flowing through said openings.

9. (Canceled).

10. The filter according to claim 8, including said elements are punched out of said filter body in the shape of tongues positioned in said filter openings.

11. The filter according to claim 10, including said tongue shaped elements are formed from a shape memory alloy material.

12. The filter according to claim 10, including said tongue shaped elements are formed from a bimetal material.

13. (Canceled)

14. A dishwashing machine, the machine including a water medium utilized in the machine, comprising:  
a filter for filtering the water medium in said machine; said filter including a filter body having a plurality of filter openings for filtering the medium flowing

through said openings; and each of said openings having a passage cross-section which varies automatically in response to a characteristic inherent to said medium flowing through said openings, including said filter openings being screened or covered by elements whose state relative to said filter openings vary under the influence of the heat of said medium flowing through said openings.

15. (Canceled)

16. The dishwashing machine according to claim 14, including said elements are punched out of said filter body in the shape of tongues positioned in said filter openings.

17. The dishwashing machine according to claim 16, including said tongue shaped elements are formed from a shape memory alloy material.

18. The dishwashing machine according to claim 16, including said tongue shaped elements are formed from a bimetal material.

19. The dishwashing machine according to claim 14, including said filter openings being screened or covered by flap-like elements, said flap-like elements having a first substantially covering position by a force effect of said medium flowing through said openings and can be adjusted to a second increased passage opening by an increased flow rate of said medium flowing through said openings.

20. The filter according to claim 8, including said elements configured as clips, with said clips, in one state thereof, being positioned in said filter openings and lying in a plane of said filter body, whereupon said filter openings are screened by said clips, and, in another state thereof wherein said clips have been subjected the influence of the heat of said medium flowing through said openings, said clips have

been moved by a selected one of bending and pivoting out of said plane of said filter body, whereupon said filter openings are no longer completely screened by said clips.

EVIDENCE APPENDIX

None

RELATED PROCEEDINGS APPENDIX

None